

# Financial Industry Regulatory Authority

The Financial Industry Regulatory Authority (FINRA) is an independent, non-governmental organization that writes and enforces the rules governing registered brokers and broker-dealer firms in the United States. Its stated mission is "to safeguard the investing public against fraud and bad practices." 1 It is considered a self-regulatory organization.

FINRA was created as the result of the consolidation of the National Association of Securities Dealers (NASD) and the member regulation, enforcement, and arbitration operations of the New York Stock Exchange (NYSE) in 20072. The consolidation was meant to do away with overlapping or redundant regulation and reduce the cost and complexity of compliance.

### **Benefits of FINRA**

FINRA's main benefit is to protect investors from potential abuses and ensure ethical conduct within the financial industry. FINRA resources, such as Broker-Check, allow investors to determine if someone claiming to be a broker is actually a member in good standing.

This certificate was issued to **www.nesaracapitalinvest.com** on the 21st of August 2020.

Management, FINRA



Department of State

## Certificate of Franchise Authority

The Registrar of companies, state of Florida, hereby certifies that,

#### **NESARA CAPITAL INVEST**

with identification number CV11-0030 issued on 01/01/2017 is incorporated under the companies Act 2006 as a private company, that the company is limited by shares and the situation of its registered office is in Camino Gardens Blvd Boca Raton Florida 33432.

This grant of authority is subjected to provide an arbitration system for any given Trading, Investment or Exchange of Crypto-assets between two parties (itself and another).

> Given under my hand and the Great Seal of the State of Florida, at Tallahassee, the Capitol, this the Twenty Second Day of January, 2017

CR2EO22 (01-07)

Secretary of State



SEC APPROVAL
CIK Number #: CIK0002642559
Authorization Date: JUL 26.207
License:SEC Regulation D

## **NESARA CAPITAL INVESTMENT**

According to the Rule 504 and Rule 506 of U.S. Securities Act of 1933, the company is granted a Regulation D license under the federal securities laws

JUL 25, 2017

DATE

Charman Jay

SIGNATURE

SEC No. 0002042559